



National Association of Independent Broker/Dealers

2007 TECHNOLOGY & COMPLIANCE SYMPOSIUM

AGENDA

Where:	NASDAQ MarketSite Four Times Square New York, NY 10004
When:	Monday, October 1st, 2007
6:00 AM	Set up begins for exhibitors (NOTE: Setup MUST be completed before 8 AM. There will be NO setup time after that.)
9:00 AM – 6:00 PM	Exhibit Hall Opens - Demo Room Available for exhibitors – Check listings at registration desk in front of Bunker Hill
8:30 AM	Registration Begins – Outside the Exhibit Hall- Buffet Breakfast
8:30 AM – 9:15 AM	Exhibit Hall Opens
9:15 AM – 10:15 AM	Coffee with the Regulators – Moderated by Lisa Roth
10:15 AM – 10:30 AM	Exhibitor Hall - Break
10:30 AM – 11:30 AM	Electronic Document and Retention Panel – Moderated by Jeffrey Green
11:30 AM – 12:30 PM	Buffet Lunch
12:30 PM – 1:15 PM	Keynote Presentation
1:15 PM – 1:30 PM	Exhibit Hall - Break
1:30 PM – 2:30 PM	CEO Panel Discussion - Moderated by LaRae Bakerink
2:30 PM – 2:45 PM	Exhibit Hall - Break
2:45 PM – 4:00 PM	Meet the FINRA Candidates
4:00 PM – 6:00 PM	Closing of the Market and Hosted Reception - Grand Prize Giveaway